

## **Video for Homework H09.7 Pascal's Formula and the Binomial Theorem**

**Reading:** Section 9.7 Pascal's Formula and the Binomial Theorem

**Homework:** H09.7: 9.7# 7,11,30,32,39,44,46,50

### **Topics:**

- **Particular important values of  $C(n, r)$**
- **Pascal's Formula**
- **Pascal's Triangle**
- **The Binomial Theorem**
- **Using the Binomial Theorem**

We will use the concept of *combinations* from Section 9.5

### Definition of *r*-combination

An *r*-combination of a set of  $n$  elements is an *unordered* selection of  $r$  elements taken from the set of  $n$  elements. That is, it is a subset of  $r$  elements.

The *number* of *r*-combinations of a set of  $n$  elements is denoted  $C(n, r)$  or  $\binom{n}{r}$ . This quantity is spoken “*n choose r*”.

#### Theorem 9.5.1 Computational Formula for $\binom{n}{r}$

The number of subsets of size  $r$  (or *r*-combinations) that can be chosen from a set of  $n$  elements,  $\binom{n}{r}$ , is given by the formula

$$\binom{n}{r} = \frac{P(n, r)}{r!} \quad \text{first version}$$

or, equivalently,

$$\binom{n}{r} = \frac{n!}{r!(n-r)!} \quad \text{second version}$$

where  $n$  and  $r$  are nonnegative integers with  $r \leq n$ .

**And we will frequently rewrite factorial expressions in different ways**

Recall the definition of factorial

$$n! = n \cdot (n - 1)(n - 2) \cdots (2)(1)!$$

Observe that one factorial expression can be written many ways.

$$\begin{aligned} n! &= n \cdot (n - 1)(n - 2) \cdots (2)(1) \\ &= n \cdot (n - 1)! \\ &= n \cdot (n - 1) \cdot (n - 2)! \end{aligned}$$

And realize that there are many variations on this idea.

$$(n + 1) \cdot n! = (n + 1)!$$

**[Example 1] Particular important values of  $C(n, r)$**

$$(a) \ C(n, n) = \binom{n}{n} = \frac{n!}{n! (n-n)!} = \frac{n!}{n! 0!} = \frac{n!}{n! \cdot 1} = 1$$

$$(b) \ C(n, n-1) = \binom{n}{n-1} = \frac{n!}{(n-1)! (n-(n-1))!} = \frac{n!}{(n-1)! 1!} = \frac{n \cdot (n-1)!}{(n-1)! 1!} = n$$

$$(c) \ C(n, n-2) = \binom{n}{n-2} = \frac{n!}{(n-2)! (n-(n-2))!} = \frac{n!}{(n-2)! 2!} = \frac{n \cdot (n-1) \cdot (n-2)!}{(n-2)! 2!} = \frac{n \cdot (n-1)}{2}$$

$$(d) \ C(n, 0) = \binom{n}{0} = \frac{n!}{0! (n-0)!} = \frac{n!}{0! n!} = \frac{n!}{1 \cdot n!} = 1$$

$$(e) \ C(n, r) = \binom{n}{r} = \frac{n!}{r! (n-r)!} = \frac{n!}{(n-(n-r))! (n-r)!} = \binom{n}{n-r} = C(n, n-r)$$

*trick*

**End of [Example 1]**

## Pascal's Formula

### Theorem 9.7.1 Pascal's Formula

For all  $n, r \in \mathbb{Z}$  with  $1 \leq r \leq n$ , 
$$\binom{n+1}{r} = \binom{n}{r-1} + \binom{n}{r}$$

## Algebraic Proof of Pascal's Formula

$$\begin{aligned}\binom{n}{r-1} + \binom{n}{r} &= \frac{n!}{(r-1)!(n-(r-1))!} + \frac{n!}{r!(n-r)!} \\&= \frac{n!}{(r-1)!(n-r+1)!} + \frac{n!}{r!(n-r)!} \\&= \frac{n!}{(r-1)!(n-r+1)!} \cdot \left(\frac{r}{r}\right) + \frac{n!}{r!(n-r)!} \cdot \left(\frac{n-r+1}{n-r+1}\right) \\&= \frac{n! \cdot r}{r \cdot (r-1)!(n-r+1)!} + \frac{n! \cdot (n-r+1)}{r!(n-r+1) \cdot (n-r)!} \\&= \frac{n! \cdot r}{r!(n-r+1)!} + \frac{n! \cdot (n-r+1) - n! \cdot (r)}{r! \cdot (n-r+1)!} \\&= \frac{(n+1)!}{r! \cdot (n-r+1)!} \\&= \frac{(n+1)!}{r! \cdot ((n+1)-r)!} \\&= \binom{n+1}{r}\end{aligned}$$

## Combinatorial Proof of Pascal's Formula

*Combinatorics* is an area of math having to do with the counting of sets. A combinatorial proof is one in which we prove the value of a certain quantity by making observations about counting sets.

We can prove Pascal's Formula,

$$\text{For all } n, r \in \mathbb{Z} \text{ with } 1 \leq r \leq n, \quad \binom{n+1}{r} = \binom{n}{r-1} + \binom{n}{r}$$

using a combinatorial argument.

Consider the symbol  $\binom{n+1}{r}$  on the left side of Pascal's Formula. That symbol represents the number of subsets of  $r$  elements that can be chosen from a set that has  $n+1$  elements.

Suppose  $X = \{x_1, x_2, \dots, x_n, x_{n+1}, \}$  is a set containing  $n+1$  elements.

Let  $\mathcal{X}_r$  be the collection of all *subsets* of  $X$  that have  $r$  elements. That is,

$$\mathcal{X}_r = \{S \subseteq X \text{ such that } N(S) = r\}$$

Observe that the collection  $\mathcal{X}_r$  is a subset of the *set of all subsets* of  $X$ . That is,  $\mathcal{X}_r \subseteq \mathcal{P}(X)$ , the *power set* of  $X$ .

Also observe that symbols  $C(n+1, r)$  and  $\binom{n+1}{r}$  represent the number of sets in  $\mathcal{X}_r$ .

$$N(\mathcal{X}_r) = C(n+1, r) = \binom{n+1}{r}$$

Next, observe that  $\mathcal{X}_r$  can be described as the union of two disjoint subsets

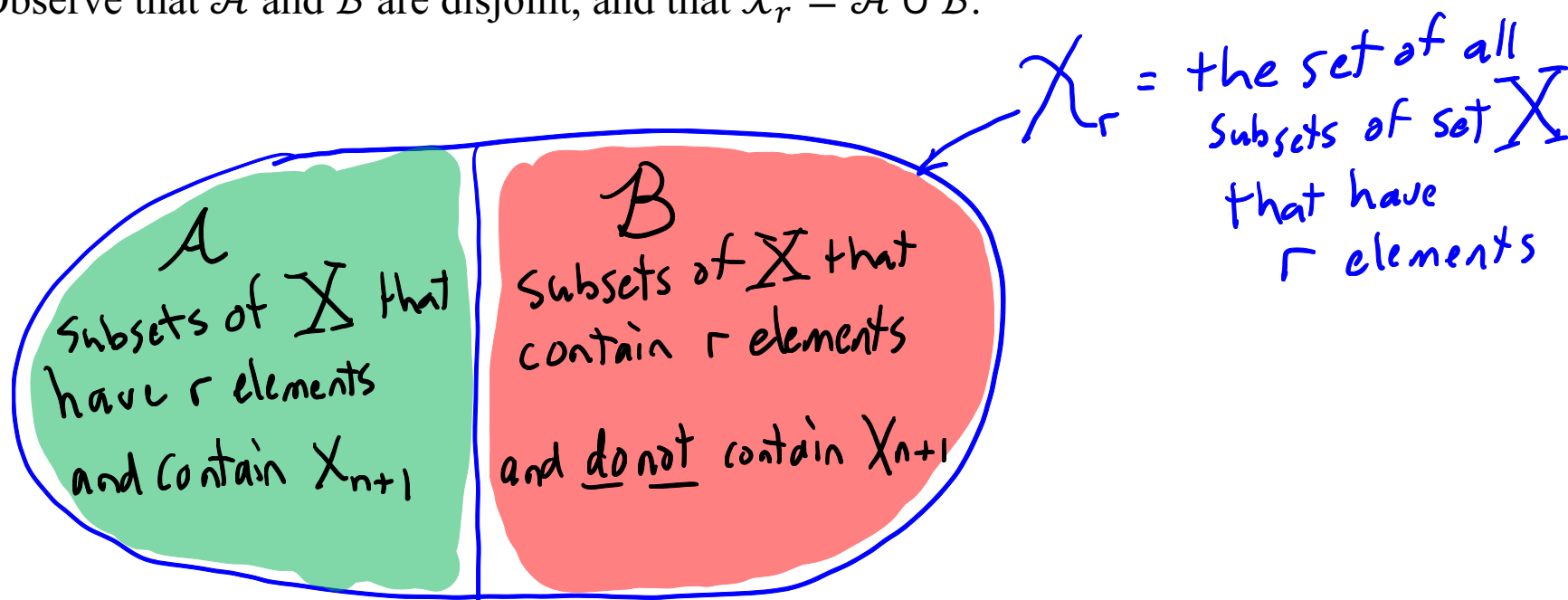
Define the set  $\mathcal{A} \subseteq \mathcal{X}_r$  as follows

$$\mathcal{A} = \{A \subseteq X \text{ such that } N(A) = r \text{ and } x_{n+1} \in A\}$$

Define the set  $\mathcal{B} \subseteq \mathcal{X}_r$  as follows

$$\mathcal{B} = \{B \subseteq X \text{ such that } N(B) = r \text{ and } x_{n+1} \notin B\}$$

Observe that  $\mathcal{A}$  and  $\mathcal{B}$  are disjoint, and that  $\mathcal{X}_r = \mathcal{A} \cup \mathcal{B}$ .



Therefore, by the addition rule,

$$N(\mathcal{X}_r) = N(\mathcal{A}) + N(\mathcal{B})$$

That is,

$$\binom{n+1}{r} = N(\mathcal{A}) + N(\mathcal{B})$$

Finding the values of  $N(\mathcal{A})$  and  $N(\mathcal{B})$  is fairly simple, so this formula is really useful.

**To find  $N(\mathcal{A})$ ,** recall that

$$\mathcal{A} = \{A \subseteq X \text{ such that } N(A) = r \text{ and } x_{n+1} \in A\}$$

The number of elements of  $\mathcal{A}$  is the number of  $r$  element subsets  $A \subseteq X$  such that  $x_{n+1} \in A$

To count the number of such subsets, consider the task of choosing such a subset as two tasks

**Task #1:** Choose element  $x_{n+1}$  to go in set  $A$

The number of ways to do task #1 is  $k_1 = 1$

**Task #2:** Choose the remaining  $r - 1$  elements for set  $A$  from the set  $\{x_1, x_2, \dots, x_n\}$

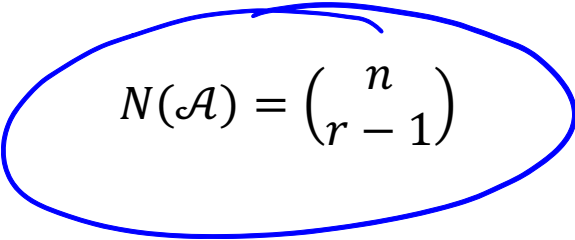
The number of ways to do task #2 is

$$k_2 = \binom{n}{r-1}$$

So, the number of ways to choose a subset  $A$  is

$$k = k_1 \cdot k_2 = 1 \cdot \binom{n}{r-1}$$

Therefore,


$$N(\mathcal{A}) = \binom{n}{r-1}$$

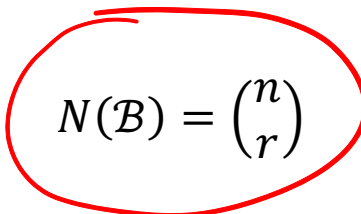
**To find  $N(\mathcal{B})$ ,** recall that

$$\mathcal{B} = \{B \subseteq X \text{ such that } N(B) = r \text{ and } x_{n+1} \notin B\}$$

Observe that if  $B \subseteq X$  and  $x_{n+1} \notin B$ , then  $B \subseteq \{x_1, x_2, \dots, x_n\}$

So the number of sets in  $\mathcal{B}$  will be the number of  $r$  element subsets of a set with  $n$  elements.

That is,


$$N(\mathcal{B}) = \binom{n}{r}$$

**Substitute expressions for  $N(\mathcal{A})$  and  $N(\mathcal{B})$  into earlier equation involving  $N(\mathcal{X}_r)$**

Our earlier equation

$$N(\mathcal{X}_r) = N(\mathcal{A}) + N(\mathcal{B})$$

becomes

$$\binom{n+1}{r} = \binom{n}{r-1} + \binom{n}{r}$$

which is Pascal's Formula

**End of Combinatorial Proof of Pascal's Formula**

## Pascal's Formula can be visualized in Pascal's Triangle

value of		value of $b$								
$\binom{a}{b}$		0	1	2	3	4	...	$r-1$	$r$	...
0		1								
1		1								
2		1	2	1						
3		1	3	3	1					
4		1	4	6	4	1				
$\vdots$		$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	...	$\vdots$	$\vdots$	
$n$		$\binom{n}{0}$	$\binom{n}{1}$	$\binom{n}{2}$	$\binom{n}{3}$	$\binom{n}{4}$	...	$\binom{n}{r-1}$	$\binom{n}{r}$	...
$n+1$		$\binom{n+1}{0}$	$\binom{n+1}{1}$	$\binom{n+1}{2}$	$\binom{n+1}{3}$	$\binom{n+1}{4}$	...	$\binom{n+1}{r-1}$	$\binom{n+1}{r}$	...
$\vdots$		$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	

$$\binom{n}{r-1} + \binom{n}{r} = \binom{n+1}{r}$$

## The Binomial Theorem

A sum of two terms, such as  $a + b$  is called a ***binomial***. The binomial theorem gives an expression for nonnegative integer powers of a binomial,  $(a + b)^n$ .

### **Theorem 9.7.2 *Binomial Theorem***

For all real numbers  $a, b$  and all nonnegative integers  $n$ ,

$$(a + b)^n = \sum_{k=0}^{k=n} \binom{n}{k} a^{n-k} b^k = a^n + \binom{n}{1} a^{n-1} b^1 + \binom{n}{2} a^{n-2} b^2 + \cdots + \binom{n}{n-1} a^1 b^{n-1} + b^n$$

*Pascal's Formula* can be used in the proof of the *Binomial Theorem*.

(There is also a simpler combinatorial proof. See the book for both proofs.)

### [Example 2] Using the Binomial Theorem

(a) Find the expansion of  $(a + b)^4$  by using the *Binomial Theorem*.

$(a+b)^4 \leftarrow n=4$

binomial theorem with  $n=4$

$$= \sum_{k=0}^4 \binom{4}{k} a^{4-k} b^k$$
$$= \binom{4}{0} a^{4-0} b^0 + \binom{4}{1} a^{4-1} b^1 + \binom{4}{2} a^{4-2} b^2 + \binom{4}{3} a^{4-3} b^3 + \binom{4}{4} a^{4-4} b^4$$
$$= 1 \cdot a^4 \cdot 1 + 4a^3b + 6a^2b^2 + 4a^1b^3 + 1 \cdot 1 \cdot b^4$$
$$= a^4 + 4a^3b + 6a^2b^2 + 4a^1b^3 + b^4$$

$$\binom{4}{2} = \frac{4!}{2!(4-2)!} = \frac{4!}{2!2!} = \frac{4 \cdot 3}{2} = 2 \cdot 3 = 6$$

(b) Find the coefficient of  $x^6$  when  $(2x + 3)^{10}$  is expanded by the *Binomial Theorem*.

$$(2x+3)^{10} = \sum_{k=0}^{k=10} \binom{10}{k} (2x)^{10-k} \cdot (3)^k$$

Binomial  
Theorem with  
 $n=10$

The power  $x^6$  will appear in the term that has  $k=4$ .  
That term will be

$$\begin{aligned} \binom{10}{4} (2x)^{10-4} 3^4 &= \frac{10!}{4!(10-4)!} \cdot (2x)^6 \cdot 3^4 = \frac{10!}{4!6!} \cdot 2^6 \cdot x^6 \cdot 3^4 \\ &= \frac{10 \cdot 9 \cdot 8 \cdot 7 \cdot \cancel{6!}}{(4 \cdot 3 \cdot 2 \cdot 1) \cdot \cancel{6!}} \cdot 64 \cdot 81 \cdot x^6 = 10 \cdot 3 \cdot 7 \cdot 64 \cdot 81 \cdot x^6 \end{aligned}$$

$$= 1,088,640 x^6$$

coefficient is 1,088,640

(c) Find the coefficient of  $u^8v^{10}$  when  $(u^2 - v^2)^9$  is expanded by the *Binomial Theorem*.

$$(u^2 - v^2)^9 \overset{\text{binomial theorem with } n=9}{=} \sum_{k=0}^9 \binom{9}{k} (u^2)^{9-k} (-v^2)^k$$

The expression  $u^8v^{10}$  will show up in the  $k=5$  term.

Build the  $k=5$  term

$$\binom{9}{5} (u^2)^{9-5} (-v^2)^5 = \binom{9}{5} (u^2)^4 (-v^{10}) = -\binom{9}{5} u^8 v^{10}$$

$$\text{Coefficient is } -\binom{9}{5} = -126$$

End of [Example 2]

Recall the definition of a *Closed Form Expression* from the video for Homework H05.2

**Definition of Closed Form Expression**

A *closed form expression* is a mathematical expression that involves a known (finite) number of standard operations.

**[Example 3]** Use the *Binomial Theorem* to simplify the sums, writing each in *closed form* without a summation symbol.

$$\begin{aligned} (a) \quad \sum_{k=0}^{k=n} (-1)^k \binom{n}{k} 13^{n-k} &= \sum_{k=0}^{k=n} \binom{n}{k} 13^{n-k} (-1)^k \\ &\stackrel{\text{Binomial theorem}}{=} (13 + (-1))^n \\ &= 12^n \end{aligned}$$

*Handwritten annotations:* A green arrow points from  $13$  to  $a=13$ , and a red arrow points from  $(-1)$  to  $b=-1$ .

$$\begin{aligned}
 (b) \quad \sum_{k=0}^n \binom{n}{k} 13^k &= \sum_{k=0}^n \binom{n}{k} (1)^{n-k} 13^k \\
 &\quad \uparrow \text{trick} \qquad \uparrow \text{this is just the number 1} \qquad a=1 \qquad b=13 \\
 &\quad \text{use binomial theorem} \\
 &= (1 + 13)^n \\
 &= 14^n
 \end{aligned}$$

$$(c) \sum_{k=0}^{k=n} \binom{n}{k} \frac{1}{13^k} = \sum_{k=0}^{k=n} \binom{n}{k} \left(\frac{1}{13}\right)^k = \sum_{k=0}^{k=n} \binom{n}{k} (1)^{n-k} \left(\frac{1}{13}\right)^k$$

use binomial  
theorem

$$= \left(1 + \frac{1}{13}\right)^n$$

$$= \left(\frac{14}{13}\right)^n$$

$$\begin{aligned}
 (d) \quad \sum_{k=0}^{k=n} \binom{n}{k} x^k 13^{n-k} &\approx \sum_{k=0}^{k=n} \binom{n}{k} 13^{n-k} x^k \\
 &\text{use binomial theorem} \\
 &\quad \swarrow a=13 \quad \searrow b=x \\
 &= (13 + x)^n \\
 &= \boxed{(13 + x)^n}
 \end{aligned}$$

End of [Example 3]

End of Video for Homework H09.7