# FINITE GENERATION OF LIE ALGEBRAS ASSOCIATED TO ASSOCIATIVE ALGEBRAS

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ABSTRACT. Let F be a field of characteristic not 2. An associative F-algebra R gives rise to the commutator Lie algebra  $R^{(-)} = (R, [a, b] = ab - ba)$ . If the algebra R is equipped with an involution  $* : R \to R$  then the space of the skew-symmetric elements  $K = \{a \in R \mid a^* = -a\}$  is a Lie subalgebra of  $R^{(-)}$ . In this paper we find sufficient conditions for the Lie algebras [R, R] and [K, K] to be finitely generated.

## 1. INTRODUCTION

Let F be a field of characteristic not 2. An associative F-algebra R gives rise to the commutator Lie algebra  $R^{(-)} = (R, [a, b] = ab - ba)$  and the Jordan algebra  $R^{(+)} = (R, a \circ b = \frac{1}{2}(ab + ba))$ . If the algebra R is equipped with an involution  $*: R \to R$  then the space of skew-symmetric elements  $K = \{a \in R \mid a^* = -a\}$  is a Lie subalgebra of  $R^{(-)}$ , the space of symmetric elements  $H = \{a \in R \mid a^* = a\}$  is a Jordan subalgebra of  $R^{(+)}$ . Following the result of J.M. Osborn (see[4]) on finite generation of the Jordan algebras  $R^{(+)}, H$ , I. Herstein [4] raised the question about finite generation of Lie algebras associated to R. In this paper we find sufficient conditions for the Lie algebras  $[R^{(-)}, R^{(-)}], [K, K]$  to be finitely generated.

**Theorem 1.** Let R be a finitely generated associative F-algebra with an idempotent e such that ReR = R(1-e)R = R. Then the Lie algebra [R, R] is finitely generated.

The following example shows that the idempotent condition can not be dropped.

**Example 1.** The algebra  $R = \begin{pmatrix} F[x] & F[x] \\ 0 & F[x] \end{pmatrix}$  of triangular 2×2 matrices over the polynomial algebra F[x] is finitely generated. However the Lie algebra  $[R, R] = \begin{pmatrix} 0 & F[x] \\ 0 & 0 \end{pmatrix}$  is not.

Key words and phrases. associative algebra, Lie subalgebra, finitely generated.

**Theorem 2.** Let R be a finitely generated associative F-algebra with an involution \*:  $R \to R$ . Suppose that R contains an idempotent e such that  $ee^* = e^*e = 0$  and  $ReR = R(1 - e - e^*)R = R$ . Then the Lie algebra [K, K] is finitely generated.

The following example shows that the condition on the idempotent cannot be relaxed.

**Example 2.** Consider the associative commutative algebra  $A = F[x, y]/id(x^2)$  with the automorphism  $\varphi$  of order 2:  $\varphi(x) = -x$ ,  $\varphi(y) = y$ . The algebra  $R = M_2(A)$  of  $2 \times 2$  matrices over A has an involution  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \rightarrow \begin{pmatrix} d^{\varphi} & b^{\varphi} \\ c^{\varphi} & a^{\varphi} \end{pmatrix}$ . We have  $[K, K] \leq xM_2(F[y]), \ dim_F[K, K] = \infty$ , which implies that algebra [K, K] is not finitely generated.

W.E.Baxter [2] showed that if R is a simple F- algebra, which is not  $\leq 16$  dimensional over its center Z then the Lie algebra  $[K, K]/[K, K] \bigcap Z$  is simple.

**Theorem 3.** Let R be a simple finitely generated F- algebra with an involution  $*: R \to R$ . Suppose that R contains an idempotent e such that  $ee^* = e^*e = 0$ . Then the Lie algebra  $[K, K]/[K, K] \bigcap Z$  is finitely generated.

## 2. Finite generation of Lie algebras [R, R]

Consider the Peirce decomposition R = eRe + eR(1-e) + (1-e)Re + (1-e)R(1-e). The components eR(1-e), (1-e)Re lie in [R, R] since eR(1-e) = [e, eR(1-e)], (1-e)Re = [e, (1-e)Re].

**Lemma 1.** The Lie algebra [R, R] is generated by eR(1-e) + (1-e)Re.

*Proof.* We only need to show that

 $[eRe, eRe] + [(1-e)R(1-e), (1-e)R(1-e)] \subseteq \text{Lie } \langle eR(1-e), (1-e)Re \rangle.$ 

From R = R(1-e)R it follows that eRe = eR(1-e)Re. Hence an arbitrary element from eRe can be represented as a sum  $\sum_{i} a_{i}b_{i}, a_{i} \in eR(1-e), b_{i} \in (1-e)Re$ . Now

 $a_i b_i = a_i \circ b_i + \frac{1}{2} [a_i, b_i]$ , where  $x \circ y = \frac{1}{2} (xy + yx)$ . For an arbitrary element  $c \in eRe$  we have  $[a_i \circ b_i, c] = [a_i, b_i \circ c] + [b_i, a_i \circ c] \in [eR(1-e), (1-e)Re]$ and  $[[a_i, b_i], c] = [a_i, [b_i, c]] - [b_i, [a_i, c]] \in [eR(1-e), (1-e)Re]$ . We showed that

 $[eRe, eRe] \subseteq [eR(1-e), (1-e)Re].$  The inclusion  $[(1-e)R(1-e), (1-e)R(1-e)] \subseteq [eR(1-e), (1-e)Re]$  is proved similarly. Lemma is proved.

**Definition 1.** A pair of vector spaces  $(A^-, A^+)$  with trilinear products  $A^+ \times A^- \times A^+ \to A^+, A^- \times A^+ \times A^- \to A^-, a^{\sigma} \times b^{-\sigma} \times c^{\sigma} \mapsto (a^{\sigma}, b^{-\sigma}, c^{\sigma}) \in A^{\sigma}, \sigma^{\sigma} = + \text{ or } -, \text{ is called an associative pair if it satisfies the identities}$ 

$$((x^{\sigma},y^{-\sigma},z^{\sigma}),u^{-\sigma},v^{\sigma})=(x^{\sigma},(y^{-\sigma},z^{\sigma},u^{-\sigma}),v^{\sigma})=(x^{\sigma},y^{-\sigma},(z^{\sigma},u^{-\sigma},v^{\sigma}))$$

**Example 3.** The pair of Peirce components (eR(1-e), (1-e)Re) is an associative pair with respect to the operations  $(a^{\sigma}, b^{-\sigma}, c^{\sigma}) = a^{\sigma}b^{-\sigma}c^{\sigma}$ .

**Lemma 2.** Let R be a finitely generated algebra and let  $e, f \in R$  be idempotents such that ReR = RfR = R. Then the associative pair P = (eRf, fRe) is finitely generated.

Remark 4. In [8] it is proved that if R is a finitely generated algebra,  $e \in R$  is an idempotent such that ReR = R then the Peirce component eRe is a finitely generated algebra.

Proof. Suppose that the algebra R is generated by elements  $a_1, \ldots, a_m$ . Suppose further that  $a_i = \sum_k \alpha_{ik} u_{ik} ev_{ik} = \sum_t \beta_{it} u'_{it} fv'_{it}$ , where  $1 \leq i \leq m$ ;  $\alpha_{ik}, \beta_{it} \in F$ ;  $u_{ik}, v_{ik}, u'_{it}, v'_{it}$  are products in generators  $a_1, \ldots, a_m$ . Let d denote the maximum lengths of the products  $u_{ik}, v_{ik}, u'_{it}, v'_{it}$  for all i, k, t. We claim that the pair P is generated by elements euf, fue, where u runs over all products in  $a_1, \ldots, a_m$  of length  $\leq 3d + 1$ . To prove the claim we need to show that for an arbitrary product  $u = a_{i_1} \cdots a_{i_N}$  of length N > 3d + 1 the elements euf, fue lie in the subpair generated by evf, fve, where v runs over all products in  $a_1, \ldots, a_m$  of length < N. There exist integers  $N_1, N_2, N_3$  such that  $N/3 - 1 < N_i \leq N/3, 1 \leq i \leq 3$  and

 $N = N_1 + N_2 + N_3 + 2. \text{ Let } u = u_1 a_i u_2 a_j u_3, \text{ length } (u_i) = N_i, 1 \le i \le 3. \text{ Then } a_i = \sum_k \beta_k p'_k f q'_k, a_j = \sum_t \gamma_t p''_t e q''_t, \text{ where } \beta_k, \gamma_t \in F; p'_k, q'_k, p''_t, q''_t \text{ are products in } a_1, \ldots, a_m \text{ of length } \le d. \text{ Now } euf = \sum_{k,t} \beta_k \gamma_t eu_1 p'_k f q'_k u_2 p''_t eq''_t u_3 f. \text{ The lengths of the products } u_1 p'_k, q'_k u_2 p''_t, q''_t u_3 \text{ are less than } N. \text{ The element } fue \text{ is treated similarly. Lemma is proved.}$ 

Remark 5. We don't assume that the algebra R of Theorem 1 is unital. However passing to the unital hull we see that if R is a finitely generated algebra,  $e \in$ R is an idempotent such that ReR = R(1 - e)R = R then the associative pair (eR(1 - e), (1 - e)Re) is finitely generated.

We will need some definitions from Jordan theory.

**Definition 2.** An algebra over a field F of characteristic  $\neq 2$  with multiplication  $a \circ b$  is called a *Jordan algebra* if it satisfies the identities

- (J1)  $x \circ y = y \circ x$
- (J2)  $(x^2 \circ y) \circ x = x^2 \circ (y \circ x).$

For references on Jordan algebras see [5, 7, 9].

An arbitrary associative algebra A gives rise to the Jordan algebra

$$A^{(+)} = (A, a \circ b = \frac{1}{2}(ab + ba)).$$

**Definition 3.** A pair of F-spaces  $P = (P^-, P^+)$  with trilinear products  $P^{\sigma} \times P^{-\sigma} \times P^{\sigma} \to P^{\sigma}, a^{\sigma} \times b^{-\sigma}c^{\sigma} \to \{a^{\sigma}, b^{-\sigma}, c^{\sigma}\} \in P^{\sigma}; \sigma = + \text{ or } -;$ 

 $a^{\sigma}, c^{\sigma} \in P^{\sigma}, b^{-\sigma} \in P^{-\sigma}$ , is called a Jordan pair if it satisfies the following identities and all their linearizations:

- (J1)  $\{x^{\sigma}, y^{-\sigma}, \{x^{\sigma}, z^{-\sigma}, x^{\sigma}\}\} = \{x^{\sigma}, \{y^{-\sigma}, x^{\sigma}, z^{-\sigma}\}, x^{\sigma}\}, x^{\sigma}\}$
- (J2) {{ $x^{\sigma}, y^{-\sigma}, x^{\sigma}$ },  $y^{-\sigma}, z^{-\sigma}$ } = { $x^{\sigma}, \{y^{-\sigma}, x^{\sigma}, y^{-\sigma}\}, z^{\sigma}$ },
- $(\mathrm{J3}) \ \{\{x^{\sigma}, y^{-\sigma}, x^{\sigma}\}, z^{-\sigma}, \{x^{\sigma}, y^{-\sigma}, x^{\sigma}\}\} = \{x^{\sigma}, \{y^{-\sigma}, \{x^{\sigma}, z^{-\sigma}, x^{\sigma}\}, y^{-\sigma}\}, x^{\sigma}\}.$

An arbitrary associative pair  $A = (A^-, A^+), a^{\sigma} \times b^{-\sigma} \times c^{\sigma} \to (a^{\sigma}, b^{-\sigma}, c^{\sigma}) \in A^{\sigma}$ gives rise to the Jordan pair  $A^{(+)} = (A^-, A^+)$  with operations  $\{a^{\sigma}, b^{-\sigma}, c^{\sigma}\} = (a^{\sigma}, b^{-\sigma}, c^{\sigma}) + (c^{\sigma}, b^{-\sigma}, a^{\sigma}) \in A^{\sigma}, \sigma = + \text{ or } -.$ 

For further properties of Jordan pairs see [6].

J.M. Osborn (see [4]) showed that a finitely generated associative algebra R gives rise to the finitely generated Jordan algebra  $R^{(+)}$ .

**Lemma 3.** Let  $A = (A^-, A^+)$  be a finitely generated associative pair. Then the Jordan pair  $A^{(+)}$  is also finitely generated.

*Proof.* Suppose that the pair A is generated by elements  $a_1^+, \ldots, a_m^+ \in A^+$ ;  $a_1^-, \ldots, a_m^- \in A^-$ . Consider an (associative) product  $a = a_{i_1}^+ a_{j_1}^- a_{i_2}^+ \cdots a_{j_s}^- a_{i_{s+1}}^+ \in A^+$ . We claim that if the indices  $i_1, \ldots, i_{s+1}$  are not all distinct then a is a Jordan expression in shorter products. We have

$$x^{+}y^{-}u^{+}v^{-}u^{+} = (x^{+}y^{-}u^{+}v^{-}u^{+} + u^{+}v^{-}x^{+}y^{-}u^{+}) - u^{+}v^{-}x^{+}y^{-}u^{+}$$
$$= \{x^{+}y^{-}u^{+}, v^{-}u^{+}\} - \frac{1}{2}\{u^{+}, v^{-}x^{+}y^{-}u^{+}\}$$
(1)

Linearizing this equality in  $u^+$  (see [9]) we get

$$x^{+}y^{-}\{u_{1}^{-}, v^{-}, u_{2}^{+}\} = \{x^{+}y^{-}u_{1}^{+}v^{-}, u_{2}^{+}\} + \{x^{+}y^{-}u_{2}^{+}, v^{-}, u_{1}^{+}\} - \{u_{1}^{+}, v^{-}x^{+}y^{-}, u_{2}^{+}\}.$$
(2)

Now (1) and (2) imply

$$\begin{aligned} x^{+}y^{-}u^{+}v^{-}u^{+}z^{-}t^{+} &= x^{+}y^{-}(u^{+}v^{-}u^{+}z^{-}t^{+} + t^{+}z^{-}u + v^{-}u^{+}) - x^{+}y^{-}t^{+}z^{-}u^{+}v^{-}u^{+} \\ &= \frac{1}{2}x^{+}y^{-}\{\{u^{+}v^{-}u^{+}\}, z^{-}, t^{+}\} - x^{+}y^{-}t^{+}z^{-}u^{+}v^{-}u^{+} \\ &= \frac{1}{2}x^{+}y^{-}\{\{u^{+}v^{-}u^{+}\}, z^{-}, t^{+}\} + \frac{1}{2}\{x^{+}y^{-}t^{+}, z^{-}, \{u^{+}, v^{-}, u^{+}\}\} \\ &- \frac{1}{2}\{\{u^{+}, v^{-}, u^{+}\}, z^{-}x^{+}y^{-}, t^{+}\} - \{x^{+}y^{-}t^{+}z^{-}u^{+}, v^{-}, u^{+}\} \\ &+ \frac{1}{2}\{u^{+}, z^{-}t^{+}y^{-}x^{+}v^{-}, u^{+}\} \end{aligned}$$
(3)

If  $i_p = i_q$ , p < q, then a is an expression of the same type as the left hand sides of (1), (3). Hence a is a Jordan expression in shorter products.

We showed that the Jordan pair  $A^{(+)}$  is generated by elements  $a_{i_1}^+ a_{j_1}^- a_{i_2}^+ \cdots a_{i_{s+1}}^+$ , where the indices  $i_1, \ldots, i_{s+1}$  are distinct, and elements  $a_{j_1}^- a_{i_1}^+ \cdots a_{i_s}^+ a_{j_{s+1}}$ , where  $j_i, \ldots, j_{s+1}$  are distinct. Hence the pair  $A^{(+)}$  is finitely generated.  $\Box$ 

## Proof of Theorem 1.

*Proof.* Let R be a finitely generated associative algebra with an idempotent e such that R = ReR = R(1-e)R. By Lemma 2 the associative pair A = (eR(1-e), (1-e)Re) is finitely generated. By Lemma 3 the Jordan pair  $A^{(+)}$  is finitely generated as well. For arbitrary elements  $a^{\sigma}, c^{\sigma} \in A^{\sigma}, b^{-\sigma} \in A^{\sigma}, \sigma = +$  or -, we have  $\{a^{\sigma}, b^{-\sigma}, c^{\sigma}\} = [[a^{\sigma}, b^{-\sigma}], c^{\sigma}]$ . This implies that generators of the

Jordan pair  $A^{(+)}$  generate the Lie algebra  $A^{-} + [A^{-}, A^{+}] + A^{+}$ . Now it remains to

recall that  $A^- + [A^-, A^+] + A^+ = [R, R]$  by Lemma 1. Theorem is proved.

# 3. FINITE GENERATION OF LIE ALGEBRAS [K, K].

Let  $R, * : R \to R$ , be an involutive algebra with an idempotent e satisfying  $ee^* = e^*e = 0$  and  $ReR = R(1 - e - e^*)R = R$ . Let  $s = 1 - e - e^* \neq 0$ . For an arbitrary element  $a \in R$  we denote  $\{a\} = a - a^* \in K$ . Let  $R_{-2} = eRe^*$ ,  $R_{-1} = eRs + sRe^*$ ,  $R_0 = eRe + e^*Re^* + sRs$ ,  $R_1 = e^*Rs + sRe$ ,  $R_2 = e^*Re$ . Then  $R = R_{-2} + R_{-1} + R_0 + R_1 + R_2$  is a  $\mathbb{Z}$ -grading.

Denote  $K_i = K \cap R_i$ ,  $H_i = H \cap R_i$ , where  $H = \{a \in R | a^* = a\}$ .

Remark 6. By Lemma 2 the associative pair  $(R_{-2}, R_2)$  is finitely generated. The restriction of \* is an involution of the pair  $(R_{-2}, R_2)$ . However, -\* is also an involution of  $(R_{-2}, R_2)$ . The Jordan pair  $(K_{-2}, K_2)$  is  $K((R_{-2}, R_2), *) = H((R_{-2}, R_2), -*)$ .

An analog of Lemma 3 for associative pairs is not true: the Jordan pair of symmetric elements of a finitely generated involutive associate pair may be not finitely generated. An example can be derived from the Example 2 above.

**Lemma 4.**  $K_2 = [K_1, K_1], H_2 = span_F\{k^2|k \in K_1\}$  and, similarly,  $K_{-2} = [K_{-1}, K_{-1}], H_{-2} = span_F\{k^2|k \in K_{-1}\}.$ 

*Proof.* Recall that the algebra R is generated by the elements  $a_1, \ldots, a_m$ . An arbitrary generator  $a_i$  can be represented as  $a_i = \sum_j \alpha_{ij} v_{ij} s w_{ij}$ , where  $\alpha_{ij} \in F$ ;  $v_{ij}$ ,  $w_{ij}$  are products in generators  $a_1, \ldots, a_m$  (may be, empty). Let  $a = a_{i_1} \cdots a_{i_r}$  be an arbitrary product of generators. Applying the equalities above to the generator  $a_{i_1}$  we get

$$e^*ae = \sum \alpha_{i_1j} e^* v_{i_1j} s w_{i_1j} a_{i_2} \cdots a_{i_r} e$$
  
=  $\sum \alpha_{i_1j} \{ e^* v_{i_1j} s \} \{ s w_{i_1j} a_{i_2} \cdots a_{i_r} e \} \in K_1 K_1.$ 

Now  $K_2 = \{e^* R e\} = \{K_1 K_1\} = [K_1, K_1];$ 

$$H_2 = \{e^*ae + e^*a^*e | a \in R\}$$
  
=  $\{k_1k_2 + (k_1k_2)^* | k_1, k_2 \in K_1\}$   
=  $\operatorname{span}_F\{k^2 | k \in K_1\}.$ 

Lemma is proved.

**Lemma 5.** There exists a finite subset  $M_{-1} \subset K_{-1}$  such that  $R_1 = M_{-1}R_2 + R_2M_{-1}$ . Similarly, there exists a finite subset  $M_1 \subset K_1$  such that  $R_{-1} = M_1R_{-2} + R_{-2}M_1$ .

*Proof.* Represent each generator  $a_i$  as  $a_i = \sum_j \alpha'_{ij} v'_{ij} e w'_{ij}$ , where  $\alpha'_{ij} \in F$ ;  $v'_{ij}$ ,  $w'_{ij}$  are products in  $a_1, \ldots, a_m$  (may be, empty). Consider an element  $e^*as$ , where  $a = a_{i_1} \cdots a_{i_r}$  and apply the decomposition above to  $a_{i_r}$ . We'll get

$$e^*as = \sum \alpha'_{i_rj} e^*a_{i_1} \cdots a_{i_{r-1}} v'_{i_rj} ew'_{i_rj} s$$
$$= \sum \alpha'_{i_rj} e^*a_{i_1} \cdots a_{i_{r-1}} v'_{i_rj} \{ew'_{i_rj}s\}.$$

It remains to choose  $M_{-1} = \{\{ew'_{i_r,j}s\}\} \subseteq K_{-1}$ . Lemma is proved.

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Lemma 6. (1) 
$$\sum_{i \neq 0} K_i \subseteq [K, K];$$
  
(2)  $[K, K]$  is generated by  $K_{-1}, K_1$ 

- *Proof.* (1) For arbitrary elements  $k_1 = \{sae\}, k_{-1} = \{sae^*\}, a \in R$ , we have  $k_1 = [k_1, e e^*], k_{-1} = [e e^*, k_{-1}]$ . Hence  $K_{-1}, K_1 \subseteq [K, K]$ . By Lemma 4,  $K_2 = [K_1, K_1], K_{-2} = [K_{-1}, K_{-1}] \subseteq [K, K]$ .
  - (2) We have  $eRe = eRe^*Re = R_{-2}R_2 = K_{-2}K_2 + K_{-2}H_2 + H_{-2}K_2 + H_{-2}H_2$ . Hence  $\{eRe\} \subseteq [K_{-2}, K_2] + [H_{-2}, H_2] + K_{-2} \circ H_2 + H_{-2} \circ K_2$ . This implies that  $[\{eRe\}, K_0] \subseteq [K_{-2}, K_2] + [H_{-2}, H_2] \subseteq [K_{-2}, K_2] + [K_{-1}, K_1]$  by Lemma 4. Now sRs is spanned by elements of the type saebs;  $a, b \in R$ . We have  $saebs = (sae - e^*a^*s)(ebs - sb^*e^*) - e^*a^*sb^*e^* \in K_1K_{-1} + e^*Re^*$ . Hence  $\{sRs\} \subseteq [K_{-1}, K_1] + \{eRe\}$ . Now  $[\{sRs\}, K_0] \subseteq [K_{-1}, K_1] + [K_{-2}, K_2]$  by what we proved above. Lemma is proved.

**Lemma 7.** Let  $n \ge 2$ ,  $a_2^{(1)}, \ldots, a_2^{(n+1)} \in K_2 \cup H_2$ ;  $b_{-2}^{(1)}, \ldots, b_{-2}^{(n)} \in K_{-2} \cup H_{-2}$  and  $Card(\{b_{-2}^{(j)}, j = 1, \ldots, n\}) < n$ . Then

$$a_{2}^{(1)}b_{-2}^{(1)}\cdots a_{2}^{(n)}b_{-2}^{(n)}a_{2}^{(n+1)} \in \sum a_{2}^{(i_{1})}b_{-2}^{(j_{1})}a_{2}^{(i_{2})}\cdots b_{-2}^{(j_{r})}a_{2}^{(i_{r+1})}(K_{-2}K_{2}+H_{-2}H_{2}), r < n.$$

*Proof.* Suppose that  $a_2^{(n+1)} \in K_2$ . If  $b_{-2}^{(n)} \in K_{-2}$ , then we are done. Let  $b_{-2}^{(n)} \in H_{-2}$ . Suppose that there exists  $1 \le i \le n-1$ , such that  $b_{-2}^{(i)} \in K_{-2}$ . Then

$$a_{2}^{(1)}\cdots b_{-2}^{(i)}\cdots a_{2}^{(n)}b_{-2}^{(n)}a_{2}^{(n+1)} = a_{2}^{(1)}\cdots \{b_{-2}^{(i)}\cdots b_{-2}^{(n)}\}a_{2}^{(n+1)} \pm a_{2}^{(1)}\cdots b_{-2}^{(n)}\cdots b_{-2}^{(i)}a_{2}^{(n+1)}$$

as claimed. Therefore we can assume that  $b_{-2}^{(i)} \in H_{-2}$ ,  $1 \leq i \leq n$ . Let  $a_2^{(n)} \in H_2$ . Then

$$b_{-2}^{(n-1)}a_{2}^{(n)}b_{-2}^{(n)}a_{2}^{(n+1)} = b_{-2}^{(n-1)}(a_{2}^{(n)}b_{-2}^{(n)}a_{2}^{(n+1)} - a_{2}^{(n+1)}b_{-2}^{(n)}a_{2}^{(n)}) + b_{-2}^{(n-1)}a_{2}^{(n+1)}b_{-2}^{(n)}a_{2}^{(n)}$$

which is an element of  $H_{-2}H_2 + H_{-2}K_2H_{-2}H_2$ . We will assume therefore that  $a_2^{(n)} \in K_2$ . Suppose that there exists  $2 \le i \le n-1$ , such that  $a_2^{(i)} \in H_2$ . Then  $a_2^{(i)} \cdots a_2^{(n)} b_{-2}^{(n)} a_2^{(n+1)} = (a_2^{(i)} b_{-2}^{(n)} \cdots a_2^{(n)} + (a_2^{(i)} b_{-2}^{(i)} \cdots a_2^{(n)})^*) b_{-2}^{(n)} a_2^{(n+1)}$  $\pm a_2^{(n)} \cdots a_2^{(i)} b_{-2}^{(n)} a_2^{(n+1)}$ . Both summands on the right hand side fall into the case that has just been considered above. From now on we will assume that  $a_2^{(2)}, \ldots, a_2^{(n+1)} \in K_2; b_{-2}^{(1)}, \ldots, b_{-2}^{(n)} \in H_{-2}$ . Notice that  $b_{-2}^{(i-1)} a_2^{(i)} b_{-2}^{(i)} = \{b_{-2}^{(i-1)} a_2^{(i)} b_{-2}^{(i)}\} - b_{-2}^{(i)} a_2^{(i)} b_{-2}^{(i-1)}$ . The element  $b_{-2} = \{b_{-2}^{(i-1)} a_2^{(i)} b_{-2}^{(i)}\}$  lies in  $K_{-2}$ . Hence the product  $a_2^{(1)} \cdots a_2^{(i+1)} \cdots a_2^{(n+1)}$  is one of those considered before. We proved that the elements  $b_{-2}^{(i)}, 1 \le i \le n$ , in  $a_2^{(1)} b_{-2}^{(1)} \cdots a_2^{(n+1)}$  are skew-symmetric modulo expressions of the desired type. Now taking into account that  $Card(b_{-2}^{(i)}, 1 \le i \le n) < n$ , we arrive at the conclusion of the lemma. We started with the assumption that  $a_2^{(n+1)} \in K_2$ . The case of  $a_2^{(n+1)} \in H_2$  is treated similarly. This finishes the proof of the Lemma. 

### Proof of Theorem 2.

*Proof.* By Lemma 2 the associative pair  $(R_{-2}, R_2)$  is finitely generated. Without loss of generality we will assume that  $(R_{-2}, R_2)$  is generated by elements  $a_2^{(i)}$ ,  $b_{-2}^{(j)} \in K \cup H, 1 \leq i, j \leq n$ . Consider the set of products  $P = P_{-2} \cup P_2$ ,  $P_{2} = \{a_{2}^{(i_{1})}b_{-2}^{(j_{1})}\cdots a_{2}^{(i_{r})} | 1 \leq r \leq n+2\}, P_{-2} = \{b_{-2}^{(j_{1})}a_{2}^{(i_{1})}\cdots b_{-2}^{(j_{r})} | 1 \leq r \leq n+2\}.$ By Lemma 4 for an arbitrary product  $p \in P_{\pm 2}$  we have  $p + p^* = \sum \alpha_{p,i} k_{p,i}^2$ , where  $\alpha_{p,i} \in F, k_{p,i} \in K_{\pm 1}$ . By Lemma 5 there exist finite sets  $M_{-1} \subset K_{-1}, M_1 \subset K_1$ such that  $R_1 = M_{-1}R_2 + R_2M_{-1}$ ,  $R_{-1} = M_1R_{-2} + R_{-2}M_1$ .

We claim that the Lie algebra [K, K] is generated by the union of the sets:  $M_1, M_{-1}, \{[\{p\}, \{q\}] | p, q \in P\}, \{[(p+p^*) \circ k_{q,i}, k_{q,i}] | p, q \in P\}, \{M_{-1}P_2\}, \{M_1P_{-2}\}.$ By Lemma 4 and Lemma 6 it is sufficient to prove that all elements from  $K_{-1}$ ,  $K_1$ can be expressed by these elements. By Lemma 5  $K_1$  is spanned by elements of the type  $\{k_{-1}a_2^{(i_1)}b_{-2}^{(j_1)}\cdots a_2^{(i_r)}\}, k_{-1} \in M_{-1}$ . We will use induction on r.

If  $r \leq n+2$  then the assumption is clear. If r > n+2 then by Lemma 7 applied to  $a^{(i_{r-n+2})}b^{(j_{r-n+2})}\dots a^{(i_{r-1})}b^{(j_{r-1})}a^{(i_r)}$  the element  $k_{-1}a_2^{(i_1)}b_{-2}^{(j_1)}\dots a_2^{(i_r)}$  is a linear combination of elements of the type

$$k_{-1}a_2^{(\mu_1)}b_{-2}^{(\nu_1)}\cdots a_2^{(\mu_t)}k_{-2}'k_2'$$
 and  $k_{-1}a_2^{(\mu_1)}b_{-2}^{(\nu_1)}\cdots a_2^{(\mu_t)}h_{-2}'h_2'$ , where  $t < r$ ;  
 $k_{-2}' \in \{P_{-2}\}, k_2' \in \{P_2\}; h_{-2}', h_2' \in \{p+p^* \mid p \in P\}$ . We have

$$\{k_{-1}a_{2}^{(\mu_{1})}b_{-2}^{(\nu_{1})}\cdots a^{(\mu_{t})}k_{-2}'k_{2}'\} = [\{k_{-1}a_{2}^{(\mu_{1})}\cdots a_{2}^{(\mu_{t})}\}, [k_{-2}', k_{2}']], \\ \{k_{-1}a_{2}^{(\mu_{1})}b_{-2}^{(\nu_{1})}\cdots a_{2}^{(\mu_{t})}h_{-2}'h_{2}'\} = [\{k_{-1}a_{2}^{(\mu_{1})}\cdots a_{2}^{(\mu_{t})}\}, [h_{-2}', h_{2}']]$$

Now it remains to notice that if  $h'_2 = p + p^*$ ,  $p \in P$ , then  $h'_2 = \sum \alpha_{p,i} k_{p,i}^2$  and  $[h'_{-2}, k_{p,i}^2] = 2[h'_{-2} \circ k_{p,i}, k_{p,i}] \in [K_{-1}, K_1].$ 

This finishes the proof of the theorem.

# 4. SIMPLE ALGEBRAS

Let R be a simple finitely generated F- algebra with an involution  $*: R \to R$ , char  $F \neq 2$ , e is an idemotent such that  $ee^* = e^*e = 0$ ,  $K = \{a \in R \mid a^* = -a\}$ . If  $e + e^*$  is not an identity of R then the Lie algebra [K, K] is finitely generated by theorem 2. Suppose that  $e + e^* = 1$ . As above, let  $R_{-2} = eRe^*$ ,

 $R_0 = eRe + e^*Re^*, R_2 = e^*Re$ . Then  $R = R_{-2} + R_0 + R_2$  is a  $\mathbb{Z}$ -grading of R. Denote  $K_i = K \bigcap R_i, i = -2, 0, 2.$ 

If R has a nonzero center Z and  $\dim_Z R < \infty$  then Z is a finitely generated *F*-algebra. Since Z is a field it follows that  $\dim_F Z < \infty$ , hence  $\dim_F R < \infty$  and  $\dim_F[K, K] < \infty$ . From now on we will assume that the algebra R is not finitely dimensional over its center.

## **Lemma 8.** The algebra R is generated by $K_{-2} + K_2$ .

*Proof.* Since the algebra R is not finite dimensional over its center it follows that R does not satisfy a polynomial identity. By the result of S. Amitsur [1] R does not satisfy a polynomial identity with involution. Hence  $[[[K, K], K], [[K, K], K]] \neq (0)$ . I.Herstein [4] proved that if A is a subalgebra of R such that  $[A, K] \subseteq A, A$  is not commutative and  $\dim_Z R > 16$ , then A = R. Applying this result to the associative subalgebra generated by [[K, K], K] we see that [[K, K], K] generates R.

Let us show that  $[[K, K], K] \subseteq K_{-2} + [K_{-2}, K_2] + K_2$ . The Lie algebra  $K_{-2} + [K_{-2}, K_2] + K_2$  is an ideal in the Lie algebra  $K = K_{-2} + K_0 + K_2$  and, hence, in the Lie algebra [K, K]. As shown by W. E. Baxter [2] the Lie algebra  $[K, K]/[K, K] \bigcap Z$  is simple. Hence  $[K, K] \subseteq K_{-2} + [K_{-2}, K_2] + K_2 + Z$ . Now,  $[[K, K], K] \subseteq [K_{-2} + [K_{-2}, K_2] + K_2, K] \subseteq K_{-2} + [K_{-2}, K_2] + K_2$ . This finished the proof of the lemma.

**Lemma 9.** Let A be a semi prime F- algebra with in involution  $* : A \to A$ , char  $F \neq 2, K = K(A, *) = \{a \in A \mid a^* = -a\}$ . Suppose that  $k \in K$  and kKk = (0). Then k = 0.

Proof. Let  $H = \{a \in A \mid a^* = a\}$ . Clearly, A = K + H. If  $k \neq 0$  then  $kAk = kHk \neq (0)$ . Choose an element  $h \in H$  such that  $khk \neq 0$ . We have (khk)K(khk) = (0). For an arbitrary element  $h_1 \in H$  we have  $khkh_1khk = k(hkh_1+h_1kh)khk-kh_1khkhk = 0$ , since  $hkh_1 + h_1kh \in K$  and  $hkh \in K$ . This implies (khk)A(khk) = (0), which contradicts the semi primeness of A. Lemma is proved.

Let  $F < X >= F < x_1, ..., x_m >$  be the free associative algebra without 1. The mapping  $x_i \to -x_i, 1 \le i \le m$ , extends to the involution  $* : F < X >\to F < X >$ . For an arbitrary generator  $x_i$ , arbitrary elements  $a_1, a_2, a_3 \in K(F < X >, *)$  denote  $f(x_i, a_1) = x_i a_1 x_i, f(x_i, a_1, a_2) = f(x_i a_1) a_2 f(x_i, a_1), f(x_i, a_1, a_2, a_3) = f(x_i, a_1, a_2) a_3 f(x_i, a_1, a_2).$ 

Let I be the ideal of the algebra F < X > generated by all elements  $f(x_i, a_1, a_2, a_3), 1 \le i \le m, a_1, a_2, a_3 \in K(F < X >), *).$ 

## 10 ADEL ALAHMEDI $^A,$ HAMED ALSULAMI $^A,$ S. K. JAIN $^{A,B}$ , EFIM ZELMANOV $^{A,C,1}$

Recall that the Baer radical B(A) of an associative algebra A is the smallest ideal of A such that the factor-algebra A/B(A) is semi prime. The Baer radical is locally nilpotent: an arbitrary finite collection of elements from B(A) generates a nilpotent subalgebra (see [3]).

### **Lemma 10.** The factor-algebra F < X > /I is nilpotent.

Proof. Since  $I^* = I$ , the involution \* gives rise to an involution on A = F < X > /I. Let B(A) be the Baer radical of  $A, \overline{A} = A/B(A)$ . The radical B(A) is invariant with respect to any involution, hence  $\overline{A}$  is an involutive semi prime algebra. By Lemma 9 the image of an arbitrary element  $f(x_i, a_1, a_2, a_3), a_1, a_2, a_3 \in K(F < X >, *)$ , is equal to zero in  $\overline{A}$ . Again, subsequently applying lemma 9 three times we get  $f(x_i, a_1, a_2) = 0$  in  $\overline{A}, f(x_i, a_1) = 0$  in A and, finally,  $x_i = 0$  in  $\overline{A}$ , which means that A = B(A). Since the algebra A is finitely generated we conclude that A is nilpotent. Lemma is proved.

The degree of nilpotency of the algebra A depends on the number of generators m. Let  $F < x_1, ..., x_m >^{d(m)} \subseteq I$ .

Now let us return to our finitely generated simple algebra R. By Lemma 8 there exists elements  $k_1^+, ..., k_m^+ \in K_2, k_1^-, ..., k_m^- \in K_{-2}$  that generates R.

**Lemma 11.** The Jordan pair  $(K_{-2}, K_2)$  is generated by elements  $\{a\}$ , where a are products in  $k_i^{\pm}$ ,  $1 \leq i \leq m$  generated by products of odd length < d(2m).

*Proof.* Choose a generator  $k_i^{\sigma}, \sigma = + or -$ , and three elements  $c_1, c_2, c_3 \in K_{-\sigma 2}$ . Denote  $f_1 = k_i^{\sigma} c_1 k_i^{\sigma}, f_2 = f_1 c_2 f_1, f_3 = f_2 c_3 f_2$ .

Choose arbitrary elements  $a_1, ..., a_n \in K_{-\sigma 2}; b_1, ..., b_n \in K_{\sigma 2}$ .

- (1) Let  $u = a_1b_1..a_nb_n$ . Then  $f_1u = k_i^{\sigma}c_1k_i^{\sigma}u = k_i^{\sigma}c_1\{k_i^{\sigma}u\} \pm k_i^{\sigma}(u^*c_1)k_i^{\sigma}$ . Hence  $\{f_1u\} = \{k_i^{\sigma}, c_1, \{k_i^{\sigma}u\}\} \pm \{k_i^{\sigma}, \{c_1u\}, k_i^{\sigma}\}$  is a nontrivial Jordan expression.
- (2) Let  $u = b_1 a_1 \dots b_t a_t$ ,  $v = a_{t+1} b_{t+1} \dots a_n b_n$ . Then  $\{uf_2 v\} = \{\{uf_1\}, c_2, \{f_1 u\}\} \pm \{f_1 \dots\}$  is a nontrivial Jordan expression by (1).
- (3) Let  $u = a_1 b_1 \dots b_{t-1} a_t$ ,  $v = a_{t+1} b_{t+1} \dots a_{n-1} b_{n-1} a_n$ , it remains to consider the product  $u f_3 v$ . We have  $f_3 = f_2 c_3 f_2 = f_1 c_2 f_1 c_3 f_1 c_2 f_1 = k_i^{\sigma} c_1 k_i^{\sigma} c_2 k_i^{\sigma} c_$

Hence, 
$$\{uk_i^{\sigma}c_2'k_i^{\sigma}c_3k_i^{\sigma}c_2'k_i^{\sigma}v\} = \{\{uk_i^{\sigma}c_2'\}, k_i^{\sigma}c_3k_i^{\sigma}, \{c_2'k_i^{\sigma}v\}\} \pm \{c_2'...\}$$

The second summand can be treated in the same way as we did in (1). Now we are ready to finish the proof of the lemma Let  $a = k_{i1}^{\sigma}, k_{j1}^{-\sigma} \dots k_{is}^{\sigma}, 2s-1 \ge d(2m)$ . Then by lemma 11 *a* is a Jordan expression in elements *b*, where *b* are products in  $k_i^{\pm}, 1 \le i \le m$ , of odd length less than 2s-1. This proves the lemma.

As we have already mentioned above  $[K, K] + Z/Z = K_{-2} + [K_{-2}, K_2] + K_2 + Z/Z$ . In view of Lemma11 this implies that the algebra  $[K, K]/[K, K] \bigcap Z$  is finitely generated. Theorem 3 is proved.

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