

# Games and Germs: A Playful Introduction

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# What are you up to?

**Bob:** I heard you are doing joint research with Ying and Chathuri. What are you all up to?

**WJ:** We work on problems in behavioral epidemiology.

**Bob:** Which means?

**WJ:** **Epidemiology** studies how **pathogens**, colloquially know as germs, spread in **populations of hosts**, which can be humans, animals, or plants.

**Bob:** That much I know.

**WJ:** **Behavioral epidemiology** studies how people make decisions about adopting control measures, such as vaccination, that can prevent or at least limit the spread of pathogens. It uses mathematical models to understand the likely impact of these decisions.

**Bob:** Interdisciplinary research with high societal relevance! Perfect fit with the core area *Health and Well-being* of Ohio University's mission! And excellent potential for external funding, I presume?

**WJ:** You can look at it this way.

**Bob:** What mathematical tools do you use for this research?

**WJ:** Game theory.

**Alice:** That sounds like a lot of fun!!!

**Bob:** (Laughs.) Yeah. But now, seriously?

**WJ:** Seriously.

**Bob:** How can you play games with epidemics, which can literally be matters of life and death?

**WJ:** Let's look at games from a mathematical perspective.

**Alice:** I play a lot of games! I can tell you all about games!!

**Bob:** Alice!

What did Mom tell you about conversations between grown-ups?

# AI about games

**WJ:** Relax, Bob! Alice can tell us about games, and I will translate it for you into more abstract, or if you will, grown-up language.

**AI:** I play rock-paper-scissors, chess, poker, solitaire, monopoly, Rubik's cube . . .

**WJ:** And you like playing all of them?

**AI:** Solitaire—not so much. And Rubik's cube even less.

**WJ:** And why not?

**AI:** Because what I really like is playing with other people. Now that I think about it, Solitaire and Rubik's cube perhaps aren't really games at all.

**WJ:** Would you then say that a “real” game involves **interactions** between two or more **players**?

**AI:** Yeah, this is what I meant.

**WJ:** We mathematicians can use such games as **models** for all sorts of real-world situations that involve interactions between humans, or even animals.

# Payoffs

**WJ:** And what else do you like about games?

**AI:** Winning! I really like winning!! But I don't like losing.

**WJ:** Are winning or losing the only possible outcomes of a game?

**AI:** No. In poker or monopoly, you can win or lose by a lot.

**WJ:** So could we then always think of the outcome of a game as a vector of real numbers that represent each player's **payoff**?

**AI:** Maybe. ... In poker with three players, for example, if each player initially puts in 5 chips, and at the end player 1 has 7 chips, player 2 has 8 chips, and player 3 is broke, I would write the outcome as  $(2, 3, -5)$ .

**WJ:** This is what we mathematicians call a **vector**. But how about chess? Here the possible outcomes are "win," "lose," or "draw."

**AI:** If player 1 wins, we could write the outcome as  $(1, 0)$ , if player 2 wins, we could write it as  $(0, 1)$ , if the game ends in a draw, we could write it as  $(0.5, 0.5)$ . There we have your "vectors."

# Maximizing payoffs

**WJ:** What are the players after? How would you express this in the terminology that we have just agreed on?

**AI:** Each player wants to make her payoff as large as possible.

**Bob:** Alice! Watch your language! What did Mom and I tell you?

**AI:** I meant to say, “her or his payoff.” Would you mathematicians say: “Each player wants to maximize his or her payoff?”

**WJ:** Exactly. But your original phrase is more interesting. You said **make**. How do you “make” your payoff as large as possible?

**AI:** That really depends on the game, you know.

**Bob:** We know.

**WJ:** Can you explain it with an example?

# Alice explains the Rubik cube

**AI:** The Rubik cube has a lot of different **configurations**. In most of them, the colors are scrambled up, but in one of them, the winning configuration, each side has a single color. We can change configurations by turning one of the nine layers of the cube either left or right, which allows us to make one of 18 **moves** in each configurations.

**WJ:** Let's use these words throughout our conversation, but let's keep in mind that they will have a broader meaning, depending on the game. In chess or checkers, for example, the configurations would be called "positions," and in poker the moves might be called "bets."

**AI:** If I can get from a scrambled configuration to the winning one in a certain number of successive moves, I win, with payoff, say 1; if I don't, I lose, with payoff 0.

Now I maximize my payoff, as you would say, by always **making** the move that is right for the given configuration.

# Strategies

**WJ:** And how do you choose the “right” move for a given configuration?

**AI:** Oh, there is an **algorithm** for this. I can show you how it works.

**Bob:** I’m really proud of you, AI, but maybe some other time . . .

**AI:** But this is really easy! I even taught it to my computer!!

**WJ:** In game theory, such an algorithm that prescribes a move for every possible configuration of the game would be called a **strategy**. We use this word **even when** the algorithm prescribes moves that are **not** the best or the right ones for a given configuration.

You said that playing with Rubik’s cube is not much fun?

**AI:** Because it is just like following a recipe. It always works.

**WJ:** I thought you like winning?

**AI:** I do!!! But this gets so utterly predictable and boring.

# How about solitaire?

**WJ:** When you play solitaire, do you also follow a strategy that makes your payoff as large as possible?

**AI:** Yes. I even figured it out myself!!

**WJ:** So you also follow a recipe, but the game is less boring than Rubik's cube?

**AI:** Yes, because I don't always win, in the sense of putting all the cards on ordered stacks.

It all depends on how the cards are shuffled.

**WJ:** We mathematicians would say that the next configuration that some of your moves lead to is **drawn randomly from a certain probability distribution**.

**AI:** This sounds like a fancy way of saying that the game involves some chance events.

**WJ:** You said it succinctly and very well.

# Expected payoffs

**WJ:** Isn't it the case though that in some rounds of solitaire that you lost with your strategy, due to the particular way the cards were shuffled, you would have won with a different strategy? So that, in a way, you **regret** your moves?

**AI:** Yes, this happens sometimes.

**WJ:** How then would your strategy maximize your payoff?

**AI:** I **didn't** say my strategy gives **always** the highest payoff.

**WJ:** So what did you mean then?

**AI:** If I play many, many rounds of solitaire I will have fewer such regrets than with any other strategy.

**WJ:** So you were talking about the average or **expected payoff**. That's basically what you get when you sum up the payoffs for many rounds of the game and then divide by the number of rounds.

**AI:** Exactly! We should have said earlier that the goal of each player is to **maximize her or his expected payoff**.

# On to the really fun games

**WJ:** You said that solitaire is more fun than Rubik's cube . . .

**AI:** That's because it is less predictable. Sometimes I win, sometimes I lose, even with the best strategy.

Almost like in real games, I mean, in games with other players.

**WJ:** Only almost?

**AI:** In real games my payoff, even my expected payoff, does not only depend on my moves, but also on the moves of all other players. The really fun thing about games is that each player tries to outsmart all the others so as to get maximum payoff for her- or himself.

**Bob:** That doesn't sound like a nice goal to strive for. Wouldn't it be better if players cooperated so as to ensure maximum overall expected payoff for the group?

**WJ:** We will talk about that later. But first let's get again from "moves" to "strategies."

# Are the really fun games really that much fun?

**WJ:** When you play chess, for example, don't you also follow a strategy?

**AI:** Yes I do!

**WJ:** And wouldn't you assume that your opponent does the same?

**AI:** Sure. At least, if my opponent is **rational** and plays so as to maximize her or his expected payoff.

**WJ:** Wouldn't then the game boil down to pitting your strategy against the one of your opponent, and the better strategy would win or at least ensure a draw? So that the actual game becomes rather more like Rubik's cube than solitaire?

**AI:** That would only be true if both my opponent and I teach our strategies to our smartphones and then let the smartphones play.

This would be fun to watch!

But only once. Then it would get totally boring.

# Strategies humans play

**WJ:** But what would be different for human players?

**AI:** We people make mistakes. And even if we follow, by and large, a certain strategy, we often make a random choice between two or more moves that appear roughly equally good. So the course of the game is not entirely determined by the strategies.

**WJ:** You appear to be thinking of strategies of humans as broad conscious outlines that leave some leeway for how the actual sequence of moves would be chosen, perhaps subconsciously?

**AI:** Yeah, sort of.

**WJ:** But could we agree to call the broad outline, **together with whatever causes the actual choices of moves**, “strategies?”

**AI:** O-OK. Fine with me.

**WJ:** But then, once the strategies are chosen, we know the resulting payoffs, and the actual sequence of moves is no more interesting than when you play the Rubik cube by recipe.

# Choosing strategies

**AI:** (With a lump in her throat.) But what happened to the fun?

**WJ:** The whole fun is in the player's choosing their strategies.

**AI:** How could that be the fun part? Wouldn't this have to happen **before** the players even start playing and make any moves?

**WJ:** Yeah. . . . But can you think of a fun game that is practically over once the players choose their strategies?

**AI:** That wouldn't be much of a game. ...

**AI:** I got it!!! Rock-paper-scissors!! This **is** a lot of fun. You see, when I play it with my friend Ronnie, I choose paper, because he always chooses rock, when I play it with my friend Sequi, I choose rock, because she will choose scissors, and when I play it with my friend Paul, I choose scissors, because he prefers paper.

This way I outsmart them all!

**WJ:** **All** of them??

# How about Maxi?

**AI:** Except for Maxi, who is really, really smart. Somehow I win only about one third of the rock-paper-scissors games against her. I think she must be able to read my mind, at least most of the time. But I cannot figure out hers.  
And her real name isn't Maxi, but very weird.

**WJ:** Is it Maximinia?

**AI:** How did you guess that??? Do you know her?

**WJ:** Not personally. But in an abstract sort of way, I do.

**AI:** You mathematicians with your abstractions!  
Maxi is a wonderful person and my bestest friend!!!  
But how come I never can beat her in any game??

**WJ:** I will show you how she does it.  
Let's go back to chess first.

# Alice and Maxi play chess

**WJ:** Think of Maxi (white) choosing between 4 possible chess strategies  $SM_1, SM_2, SM_3, SM_4$  and you (black) between 4 strategies  $SA_1, SA_2, SA_3, SA_4$ . When each of you follows her chosen strategy, the payoff vectors will be as in the table below.

**Table:**  $(1, 0)$ —Maxi wins;  $(0, 1)$ —Alice wins,  $(0.5, 0.5)$ —draw.

	$SA_1$	$SA_2$	$SA_3$	$SA_4$
$SM_1$	$(0, 1)$	$(0, 1)$	$(0, 1)$	$(0.5, 0.5)$
$SM_2$	$(0, 1)$	$(0.5, 0.5)$	$(1, 0)$	$(0.5, 0.5)$
$SM_3$	$(1, 0)$	$(1, 0)$	$(0.5, 0.5)$	$(0.5, 0.5)$
$SM_4$	$(0, 1)$	$(1, 0)$	$(0.5, 0.5)$	$(0.5, 0.5)$

**WJ:** Which strategy would you pick?

# Which strategy would you pick?

**Table:**  $(1, 0)$ —Maxi wins;  $(0, 1)$ —Alice wins,  $(0.5, 0.5)$ —draw.

	$SA_1$	$SA_2$	$SA_3$	$SA_4$
$SM_1$	$(0, 1)$	$(0, 1)$	$(0, 1)$	$(0.5, 0.5)$
$SM_2$	$(0, 1)$	$(0.5, 0.5)$	$(1, 0)$	$(0.5, 0.5)$
$SM_3$	$(1, 0)$	$(1, 0)$	$(0.5, 0.5)$	$(0.5, 0.5)$
$SM_4$	$(0, 1)$	$(1, 0)$	$(0.5, 0.5)$	$(0.5, 0.5)$

**AI:** I would pick strategy  $SA_1$  because it beats three of the four strategies of Maxi and maximizes my expected payoff.

**WJ:** But wouldn't your payoff depend on what Maxi does?

**AI:** Oh, yeah! She is really smart and can read my mind. So she would pick  $SM_3$ , and then I would lose and regret my choice. I really don't like losing, you know.

# Which strategy would you pick, then?

Table: (1, 0)—Maxi wins; (0, 1)—Alice wins, (0.5, 0.5)—draw.

	$SA_1$	$SA_2$	$SA_3$	$SA_4$
$SM_1$	(0, 1)	(0, 1)	(0, 1)	(0.5, 0.5)
$SM_2$	(0, 1)	(0.5, 0.5)	(1, 0)	(0.5, 0.5)
$SM_3$	(1, 0)	(1, 0)	(0.5, 0.5)	(0.5, 0.5)
$SM_4$	(0, 1)	(1, 0)	(0.5, 0.5)	(0.5, 0.5)

**AI:** OK, I will pick strategy  $SA_3$  because then Maxi cannot beat me with strategy  $SM_3$ , and I can still win if she plays strategy  $SM_1$ . But that would be really dumb of her.

**WJ:** Wouldn't then Maxi regret her choice of  $SM_3$  and play  $SM_2$  instead?

**AI:** Yes, of course! She is sooo smart and can read my mind. And she too likes winning. So I would lose again.

# Which strategy should you pick, then?

Table: (1, 0)—Maxi wins; (0, 1)—Alice wins, (0.5, 0.5)—draw.

	$SA_1$	$SA_2$	$SA_3$	$SA_4$
$SM_1$	(0, 1)	(0, 1)	(0, 1)	(0.5, 0.5)
$SM_2$	(0, 1)	(0.5, 0.5)	(1, 0)	(0.5, 0.5)
$SM_3$	(1, 0)	(1, 0)	(0.5, 0.5)	(0.5, 0.5)
$SM_4$	(0, 1)	(1, 0)	(0.5, 0.5)	(0.5, 0.5)

**AI:** Oh, I see!! I need to pick strategy  $SA_4$ ! Then I will have no regrets when Maxi picks  $SA_3$ , and Maxi will have no regrets either in this case, because she could do no better with any other of her strategies against my  $SA_4$ .

**WJ:** A choice of strategies where no player has any regrets about his or her choice **given** the choices of all other players is called **Nash equilibrium**.

**AI:** Just to make sure: By “no regrets” you mean that no player could achieve a higher expected payoff by switching to another strategy?

**WJ:** Exactly.

**AI:** But tell me, can Maxi really read minds?

# Can Maxi really read minds?

**WJ:** Yes, at least minds of very, very smart people like Alice and herself. She assumes that all players are perfectly rational and as smart as she is and will only pick strategies from a Nash equilibrium. Then so does she.

**AI:** How can she reason all that out?

**Table:**  $(1, 0)$ —Maxi wins;  $(0, 1)$ —Alice wins,  $(0.5, 0.5)$ —draw.

	$SA_1$	$SA_2$	$SA_3$	$SA_4$
$SM_1$	$(0, 1)$	$(0, 1)$	$(0, 1)$	$(0.5, 0.5)$
$SM_2$	$(0, 1)$	$(0.5, 0.5)$	$(1, 0)$	$(0.5, 0.5)$
$SM_3$	$(1, 0)$	$(1, 0)$	$(0.5, 0.5)$	$(0.5, 0.5)$
$SM_4$	$(0, 1)$	$(1, 0)$	$(0.5, 0.5)$	$(0.5, 0.5)$

**WJ:** Maximinia may simply look at her minimum payoff in each row of the [payoff matrix](#) and then choose a strategy for which this minimum in the corresponding row is maximal.

**AI:** Ah! That's how you knew her! But if there is no Nash equilibrium?

**WJ:** Every game has at least one Nash equilibrium.

# How about rock-paper-scissors?

**AI:** But that's not true!! Not when I play rock-paper-scissors with Maxi!  
Look at the payoff matrix:

**Table:** (1, 0)—Maxi wins; (0, 1)—Alice wins, (0.5, 0.5)—draw.

	<i>RA</i>	<i>PA</i>	<i>SA</i>
<i>RM</i>	(0.5, 0.5)	(0, 1)	(1, 0)
<i>PM</i>	(1, 0)	(0.5, 0.5)	(0, 1)
<i>SM</i>	(0, 1)	(1, 0)	(0.5, 0.5)

**AI:** You see, Maxi's minimum for each row is zero.

**WJ:** So Maxi doesn't have a strategy in your table that she couldn't possibly regret,

**AI:** and neither have I. So there is no Nash equilibrium.

**WJ:** Not if we consider **only the strategies in this table.**

**AI:** But **by the definition** of the rock-paper-scissors game, as you mathematicians would say, the table lists all possible strategies!!

# Mixed strategies

**WJ:** You said that you can outsmart Ronnie, Sequi, and Paul, because you know their favorite strategies. But when you play many times with them, don't they catch on and switch sometimes?

**AI:** Not Ronnie. He always plays rock. He is sooo ...

**Bob:** (Frowns.)

**AI:** ... stubborn. But Sequi switches all the time. She always goes *SPRSPRSPR*.... So I go *RSPRSPRSP*... and I always win.

Paul is less predictable. He flips a coin, and when it comes up heads, then he plays *P*. If it comes up tails, he flips another coin, and then plays *S* when that one comes up heads and *R* if that one comes up tails.

**WJ:** We mathematicians would say that Ronnie plays a **pure strategy** while Sequi and Paul play **mixed strategies**.

The table on the previous slide lists only the pure strategies.

**AI:** Sequi's mixed strategy follows a fixed pattern though that I can outsmart.

**WJ:** But Paul **randomizes** his choices, and you cannot **always** win.

# Can Alice outsmart Paul?

**AI:** Paul says the same: "When I play a randomized mixed strategy and you play a pure strategy, you cannot beat me in the long run."  
He took a game theory course at OU and is such a showoff . . . .

**WJ:** Be that as it may . . . But is he right?

**AI:** No!!! I often challenge him to a match where he plays his coin-flipping strategy for 100 rounds and I play "scissors" all the time. Every time we do this he loses more rounds than I do.

**WJ:** It seems that the **expected payoff** for your strategy  $S$  is higher when matched against his coin-flipping strategy, which we might call  $C$ .

**AI:** You bet!! The first coin tells him to play  $P$  about half of the time, so I win the round with probability 0.5, as you mathematicians would say. And I lose only when both coins come up tails, with probability 0.25, which means about one quarter of the time.

**WJ:** So the expected payoff  $E$  for  $S$  against  $C$  would be:

$$\begin{aligned} E &= (1)Pr(P) + (0.5)Pr(S) + (0)Pr(R) \\ &= (1)(0.5) + (0.5)(0.25) + (0)(0.25) = 0.625, \end{aligned}$$

**AI:** which is larger than the payoff 0.5 for breaking even.  
This means that I can outsmart the randomized strategy!!

# How would Maxi play?

**WJ:** You said **the** randomized strategy. Is there only one?

**AI:** Now that I think of it, Paul could perhaps use a fair die instead of coins and play  $R$  if it comes up 1 or 2,  $S$  if it comes up 3 or 4, and  $P$  if it comes up 5 or 6. This is another randomized strategy.

**WJ:** We mathematicians would say that in this strategy, call it  $D$ , Paul's choices are drawn from the **uniform probability distribution on  $\{R, P, S\}$** .

**AI:** If Paul plays  $D$  and I play  $S$ , then my expected payoff is:

$$\begin{aligned} E &= (1)Pr(P) + (0.5)Pr(S) + (0)Pr(R) \\ &= (1)(1/3) + (0.5)(1/3) + (0)(1/3) = 0.5, \text{ so we brake even!} \end{aligned}$$

**WJ:** Would Maxi perhaps play  $D$ ?

**AI:** Oh no!! Maxi is way too smart for that.

If I play  $S$ , she would outsmart me, play  $R$ , and win!

**WJ:** Couldn't you prevent her from outsmarting you by playing  $D$  yourself?

**AI:** Yes!!! She can read my mind, but not the die's mind!!

# Nash equilibrium for rock-paper-scissors

**WJ:** Look at the payoff matrix when we include  $C$  and  $D$ :

**Table:** (Maxi's payoff, Alice's payoff).

	$RA$	$PA$	$SA$	$CA$	$DA$
$RM$	(0.5, 0.5)	(0, 1)	(1, 0)	(0.375, 0.625)	(0.5, 0.5)
$PM$	(1, 0)	(0.5, 0.5)	(0, 1)	(0.5, 0.5)	(0.5, 0.5)
$SM$	(0, 1)	(1, 0)	(0.5, 0.5)	(0.625, 0.375)	(0.5, 0.5)
$CM$	(0.625, 0.375)	(0.5, 0.5)	(0.375, 0.625)	(0.5, 0.5)	(0.5, 0.5)
$DM$	(0.5, 0.5)	(0.5, 0.5)	(0.5, 0.5)	(0.5, 0.5)	(0.5, 0.5)

**AI:** So when we both play  $D$ , neither of us has any regrets,

**WJ:** and  $(D, D)$  is a **mixed strategy Nash equilibrium**.

**AI:** But wait!! You said earlier "for the strategies in the table."  
Aren't there more randomized mixed strategies?

**WJ:** Infinitely many, in fact. But none of them beats  $D$ .

**AI:** But to be sure, wouldn't you need to check each one of them?

**WJ:** Mathematicians can reason about infinitely many strategies at once.

# Alice wants to know more

**Alice:** This sounds so cool!!! Can you show me how?

**WJ:** Not now. In a few years, if you decide to study math . . .

**Al:** But I want to become a game designer!!

**WJ:** And for that a math degree is very useful.

**Bob:** (Alarmed) Listen Alice: Mom and I love you very much and want you to have a great career in business or medicine.

**Al:** But Dr. Just is going to tell us about games in epidemiology, which is really, really similar to medicine!

**WJ:** Let's first talk about applications to business.

**Al:** I already know about that! I'm good at monopoly, which is like doing business, buying and selling stuff.

**Bob:** Monopoly is just make-believe business with fake money.

**WJ:** Alice has a point. We can study games like monopoly as toy models for how the real economy works.

# The college tuition game

**Bob:** And what can we learn from such games?

**WJ:** Let's consider how for-profit colleges should set tuition fees.

**Bob:** This is an important question, I agree.

**WJ:** To build a toy model, we will make some assumptions:

- There are exactly two competing for-profit colleges in the area.
- They can set their tuition only in increments of 5,000.
- The maximum fee that might get each of them enough students is 40,000.
- Each could increase their profits if they lower their fees by 5,000 and thereby lure away many students from the other.

**Bob:** The real world is a lot more complicated,

**WJ:** but in mathematics we make simplifying assumptions to understand the underlying mechanisms.

Does the real system basically work like in this model?

**Bob:** To some extent, yes.

## Playing the college tuition game

**WJ:** So in this game we have 3 strategies:

$S_1 = \$40,000$ ,  $S_2 = \$35,000$ ,  $S_3 = \$30,000$ .

**AI:** The colleges would choose  $S_1$ , because this would ensure maximum profit! Or maximize expected payoff, as we said earlier.

**Bob:** But the most beneficial solution for society, especially for the students and their parents, would be if both colleges follow strategy  $S_3$ . You can see that “rational self interest” of the colleges is in conflict with societal needs here.

**WJ:** But is  $(S_1, S_1)$  a Nash equilibrium in this game?

**AI:** No, because one college could switch to  $S_2$  and increase its payoff by luring away students,

**WJ:** after which the other college also switches to  $S_2$  to lure the students back.

**AI:** But the first college then would switch to  $S_3$  to retain these students,

**WJ:** and the second college must follow suit to stay in business.

# Nash equilibrium for college tuition

**WJ:** So  $(S_3, S_3)$  is the Nash equilibrium, and it is exactly the societally optimal equilibrium that Bob mentioned.

**AI:** Wow!!!

When all players follow their rational self-interest, then it's almost like an invisible hand drives the game to what's best for everybody.

**Bob:** Unfortunately, in real life it doesn't always work this way.

**AI:** But you gave me a book on economics for birthday, remember?

**Bob:** Yes. Mom and I are always thinking about how we can best help you prepare for your future career.

**AI:** And there they write that it always works this way!!

**WJ:** Many economists hold that this is actually true.

Other economist argue that it isn't.

# Costs and benefits of vaccinations

**WJ:** Now consider an outbreak of an infectious human disease. Not everybody will become infected, but each of those who do will bear a cost  $C_i$  of the infection, which is like a negative payoff.

Assume, moreover, that there is a vaccine, and those who get vaccinated prior to the outbreak will not become infected. Each of them will bear a cost of  $C_v$  though, which may reflect the price and inconvenience of getting vaccinated, as well as possible side-effects.

**Bob:** But infectious diseases can be deadly!  
So  $C_v$  is really negligible compared with  $C_i$ .

**WJ:** Not always. Think about the flu.

**Al:** Getting the flu is nasty. But I don't like getting jabbed with a needle either. Getting jabbed once or even five times is better than getting the flu though. But getting jabbed 100 times would be worse.

**WJ:** How about getting jabbed 10 times? Would this be roughly as bad as getting the flu once?

**Al:** That sounds about equally painful.

**WJ:** So in this case we could assume that  $C_i = 10C_v$ .

# Societally optimal vaccination coverage

**Bob:** But  $C_i$  is still much higher than  $C_v$ , so everybody should get vaccinated.

**WJ:** Shouldn't the optimal value  $v_{opt}$  of the **vaccination coverage  $v$** , that is, of the proportion of people in the population who get vaccinated, be such that the total average cost per person is as small as possible?

**Bob:** Granted. But if we let  $x$  denote the probability that an unvaccinated person gets infected, then this average cost is  $E(C) = vC_v + (1 - v)xC_i$ . **As long as**  $|xC_i| > |C_v|$ , which seems a very reasonable assumption, we can always improve  $E(C)$  by increasing  $v$ . So the optimal vaccination coverage must be  $v_{opt} = 1$ , which means vaccinating the entire population.

**WJ:** "As long as" is the key phrase here.

The probability  $x$  is a decreasing function of  $v$  and actually reaches 0 for some value  $v_{hit} < 1$ , which is called the **herd immunity threshold**.

The optimum vaccination coverage  $v_{opt}$  cannot exceed  $v_{hit}$ .

That is,  $v_{opt} \leq v_{hit} < 1$ .

# Whom should we vaccinate?

**Bob:** But how can  $x$  depend on  $v$ ? If we vaccinate half of the other people but not our Alice, she will remain unprotected and vulnerable to the infection!

**Al:** But not with the same probability!! Look, Daddy: I can become infected only by catching the flu from some **other person**, but **not from a vaccinated other person**. So if many other people get vaccinated, then I will be less likely to catch the flu.  
And perhaps I don't need to get jabbed myself!

**WJ:** Exactly! The actual formula for  $x(v)$  is a bit tricky and we will skip it here. But for any given disease we could in principle use it to find  $v_{opt}$ .

**Al:** But how should we select the people who will get vaccinated?  
Should the government draw up a list?

**WJ:** This would create a lot of resentment and not everybody would comply.

**Al:** Right! Nobody likes to get jabbed.

**Bob:** And who would want to leave their own child unprotected?

# The vaccination game

**AI:** Oh—I get it!! We simply let everybody make their own decision independently, based on rational self-interest. Then people will arrive at a mixed-strategy Nash equilibrium!

**WJ:** This is called the [vaccination game](#). Its Nash equilibrium will lead to a vaccination coverage  $v_{game}$ .

**AI:** And the invisible hand will make sure that  $v_{game} = v_{opt}$ !

**WJ:** Unfortunately, no. It has been proved for this game that  $v_{game} < v_{opt}$ , so that more people will suffer from the infection and the overall cost will be higher than for the societal optimum.

**AI:** Bummer! Can you mathematicians do anything about it?

**WJ:** We can try to find ways to encourage more people to voluntarily get vaccinated.

We would start by building more realistic models that take into account how people really make decisions.

# So what are we up to?

**AI:** So the inequality  $v_{game} < v_{opt}$  has been proved only under the assumption that everybody is as smart as Maxi?

**WJ:** You have phrased the result perfectly!

**AI:** And are you saying that since most people aren't all that smart, there is some hope?

**WJ:** I would not say it this way.

**AI:** (Sighs.) I know. Let's say people might misperceive  $C_v/C_i$ .

**Bob:** Or they might be driven by altruism.

**AI:** Or they might imitate each other's decisions.

**Dave:** Or they might randomize their decisions, but with probabilities that depend on what happened to them in the previous flu season.

**WJ:** The roles of misperceptions, altruism, and imitation in the vaccination game have already been widely studied. The role of personal previous experience has also been studied, but not in the form that Dave suggested. We are currently investigating its impact.

**Stay tuned for updates on our findings!**

# On the characters of this play

Dave is David Gerberry, our collaborator from Xavier University, who initiated this joint research project with Ying Xin and now also with Chathuri Mudiyansele on decision-making in the flu vaccination game.

Bob and Alice are purely fictional characters.  
Neither of them is based on any one actual person.

The character of WJ . . .

**Alice:** We know. Let's get out of here and play some games!!